



Canadian Lawyers Liability Assurance Society

2021/2022 Renewal Application for  
Excess Professional Liability Insurance

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This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

**Note:** *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Cassels Brock & Blackwell LLP

2. Address of principal office: 2100-40 King Street West  
Toronto, ON M5H 3C2

Phone: (416) 869-5300 Fax: (416) 360-8877

3. Address, phone, and fax numbers of other office(s):  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. Management or service companies, date(s) established, and services provided:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☐ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

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7. Attached, as Appendix A, is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☐ yes      ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of February 15, 2021:
- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels, and lawyer consultants).
  - b) Number of patent & trademark agents (who are not lawyers).
  - c) Number of other non-lawyer consultants.
  - d) Number of paralegals.
  - e) Number of other employees.
  - f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels, or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.



9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>	<u>Last Year</u>
a) Corporate and Commercial Law	_____ %	36.78 %
b) Criminal Law	_____ %	0 %
c) Family Law	_____ %	0 %
d) Intellectual Property	_____ %	4.04 %
e) Labour Law	_____ %	1.47 %
f) Litigation	_____ %	11.02 %
g) Real Estate	_____ %	7.9 %
h) Securities Law	_____ %	11.13 %
i) Tax Matters	_____ %	1.48 %
j) Wills, Estates, Trust	_____ %	0.09 %
k) Other (please specify)	_____ %	26.09 %

10. Have any of the lawyers, or non-lawyer consultants listed in Appendices B and C, or former lawyers, or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended, or disbarred from practice since the date of the Firm's most recent CLLAS application?

☐ yes ☐ no

If "yes", please provide full details:

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11. Attached, as Appendix D, is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify and update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes, coverage for lawyers providing Professional Services from a U.S. office, as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached, as Appendix F, is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2020. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved in excess of \$500,000.



Note: All claims or notices require the following details: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim including damages sought, amount paid (legal & indemnity, and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☐ yes ☐ no

If "yes", please indicate preferred limit option:

☐ \$10M xs \$160M ☐ \$20M xs \$160M  
☐ \$30M xs \$160M ☐ \$40M xs \$160M  
☐ \$50M xs \$160M ☐ \$60M xs \$160M

If you currently buy the maximum limits available (i.e., \$250MM including the umbrella layer) would you be interested in purchasing an additional umbrella layer of \$50MM?  
(Note that this question is for planning purposes only.)


☐ Interested ☐ Not Interested

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach, with Appendix I, a copy of the Firm's 2021 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature:   
(Must be signed by a Partner of the Firm)

Name of Signatory: \_\_\_\_\_  
(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: \_\_\_\_\_

## APPENDIX A

### Predecessor Firms

## Name of Firm

Cassels Brock & Blackwell LLP

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

[illegible]

# APPENDIX B

Active Members of the Firm as of February 15, 2021

Name of Firm

Cassels Brock & Blackwell LLP

Updated as of (Enter Date):

February 15, 2021

	CANADA					
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Nova Scotia</u>	<u>Other Provinces</u> (Please specify, change heading)
a) No. of Lawyers <sup>/1</sup>	26	8	147			
b) No. of Patent & Trademark Agents <sup>/2</sup>	0	0	0			
c) No. of Non-lawyer Consultants <sup>/3</sup>	1	0	0			
d) No. of Paralegals	14	3	33			
e) No. of Other Employees	26	15	229			
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>	10	12	50			

OUTSIDE OF CANADA <sup>/5</sup>				
<u>U.S.</u>	<u>China</u>	<u>South Africa</u>	<u>Other Countries</u> (Please specify, change heading)	<u>Other Countries</u> (Please specify, change heading)

/1 Including partners, employed lawyers, counsels/of counsels and lawyer consultants

/2 These are not lawyers.

/3 Please complete Appendix C if individuals are reported under this category

/4 Lawyers reported here should not be included under a).(See note at Question 8.f) of the application.;

/5 Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please provide full particulars such as percentage of practice other than Law.

## APPENDIX C

**Active Non-Lawyer Consultants Of The Firm As Of February 15, 2021  
(Excluding Patent & Trademark Agents)**

Name of Firm

Cassels Brock & Blackwell LLP

Updated as of (Enter Date):

February 15, 2021

SECTION A

[illegible]

/1 If underlying insurance is purchased, please complete Section B.

/2 Please complete this column ONLY for individuals who are not acting under the supervision of a lawyer AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

# APPENDIX C

Active Non-Lawyer Consultants Of The Firm As Of February 15, 2021  
(Excluding Patent & Trademark Agents)

Name of Firm

Cassels Brock & Blackwell LLP

Updated as of (Enter Date):

February 15, 2021

## SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

## APPENDIX D

### "Associated Firms" and "Umbrella Firms"

## Name of Firm

Cassels Brock & Blackwell LLP

[illegible]


## APPENDIX E

## Professional Services Provided Relating to Non-Canadian Law &amp; Professional Services Provided In the U.S. &amp; Outside of Canada

Name of Firm

Cassels Brock & Blackwell LLP

Updated as of (Enter Date):

February 15, 2021

## 1 Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Province the Canadian Lawyer is based out of	Location of Office (Non-Canadian)	% of Docketed Time Relating to Non-Canadian Law
Ryan Jacobs	Ontario		Up to 10%
Natalie Levine	Ontario		Up to 10%

## 2 Professional Services Provided by Canadian Lawyers from a U.S. Office

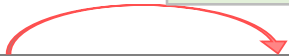
Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

[illegible]

For lawyers practicing both Canadian and Non-Canadian Law, please provide a split between Canadian and Non-Canadian. Note that in cases where a split is not available, a 50% 50% split will be assumed.

### 3 Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the “Outside of Canada” column.

[illegible]

# APPENDIX E

## Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Name of Firm

Cassels Brock & Blackwell LLP

Updated as of (Enter Date):

February 15, 2021

### 4 Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

# APPENDIX F

Schedule of Claims and Notices As of December 31, 2020

Name of Firm

Cassels Brock & Blackwell LLP

**Updated as of (Enter Date):**

December 31, 2020

Please attach separately with the email

# APPENDIX G

## Risk Management Policies and Procedures

### Name of Firm

Cassels Brock & Blackwell LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

**Please attach separately with the email**

# APPENDIX H

## Cyber Liability

### Name of Firm

Cassels Brock & Blackwell LLP

1	Personnel	Enter Yes or No
a)	Do you have a Chief Security Officer or Chief Information Security Officer or equivalent?	Yes
	If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?	
	Chief Financial Officer, Chief Information Officer	
b)	Do you have a Chief Privacy Officer or equivalent?	Yes
	If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?	
2	Protection	Enter Yes or No
a)	Do you use encryption tools to enhance the integrity and confidentiality of confidential information?	Yes
	If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)	
	- Data at rest	No
	- Data in transit	Yes
	- Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)	Yes
	- None of the above	
b)	Do you use and regularly update industry-standard antivirus software?	Yes
c)	Do you install the latest software updates to reduce security vulnerabilities?	Yes
d)	Do you require that passwords be a minimum length and contain alpha and numeric characters?	Yes
e)	Do you require that passwords be regularly updated?	No
f)	Do you check to make sure that no spyware or adware resides on your computers?	Yes
g)	Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems?	Yes
h)	Is the data on your servers encrypted?	No
i)	Is the data on your desktop and laptop computers encrypted?	Yes
j)	Is the data on your mobile devices encrypted?	Yes
k)	Have predesignated computer system/application access rights and privileges been set for all authorized users?	Yes
l)	Is there hourly or daily automatic backup of documents and emails?	Yes
m)	Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems?	No
n)	Are backups stored off-site at a secure location?	Yes

# APPENDIX H

## Cyber Liability

### Name of Firm

Cassels Brock & Blackwell LLP

- |    |   |     |
|----|---|-----|
| o) | Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen?        | Yes |
| p) | Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? | No  |
| q) | Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel?   | Yes |

3	Incident Report	Enter Yes or No
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	Do you have a written network security incident response plan?	No
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If "yes":

- |    |   |    |
|----|---|----|
| a) | Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? | No |
| b) | Does it include procedures to alert your clients that their data may have been compromised?                           | No |

4	Policies	Enter Yes or No
---	----------	-----------------

- |    |   |     |
|----|---|-----|
| a) | Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? | Yes |
| b) | Do you advise your lawyers of the risks of using unencrypted email?   | No  |
| c) | Does your firm advise your lawyers of the dangers of metadata?  | No  |
| d) | Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches?                         | Yes |
| e) | Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks?                            | Yes |

# APPENDIX I

2021 Professional Liability Insurance Application and  
Exemption Form Submitted to LAWPRO

Name of Firm

Cassels Brock & Blackwell LLP

Please attach separately with the email











Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS											
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred			
CLLAS2017-155	17E0989	13-Jun-2017	9-Sep-2016	Shane Hardy	167 Morgan Limited Partnership,3450 Dufferin Yorkdale Limited Partnership,356-360 Hoffman Street Limited Partnership,Buzzers Hockey LP,Cushman & Wakefield New Canada Limited Partnership,Enobia Canada Limited Partnership,Extreme Venture Partners Annex Fund I LP,Gavin Properties Limited Partnership #1,HD 940 Thornton LP,Hotel 550 Wellington LP,Hugo Crescent Limited Partnership,IGW Reit Limited Partnership,King Live Limited Partnership,Kraus Brands LP,Kraus Canada LP,Kraus Carpet LP,Kraus Properties LP,Kraus Systems LP,Portland Gaming Fund I LP,Rose Beeton Limited Partnership,Rose Berkeley Limited Partnership,Rose Canmore Paintbrush Limited Partnership,Rose Dundas Limited Partnership,Rose Heritage Acquisition Limited Partnership,Rose High Park Limited Partnership,Rose Hucknall Limited Partnership,Rose Investments II Limited Partnership,Rose Lakeshore Limited Partnership,Rose Midhurst Limited Partnership,Rose Montreal Viau Limited Partnership,Rose South Hamptons Limited Partnership,Rose Spruce Village Limited Partnership,Rose Stony Plain Limited Partnership,Rose Winnipeg Acquisition	29-Jan-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-172	17E0598	21-Apr-2017		Suhuyini Abudulai	PFIP LLC (Planet Fitness)	25-Oct-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2017-173	17E0966	6-Jun-2017	2-Mar-2015	Mark Bennett	Gateway Services Inc.,Imperial Capital Limited	12-Jun-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2018-061	18E0190	5-Feb-2018	2-Jan-2016	Wendy Berman	Geoff Woo	30-Sep-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2018-074	18E0241	16-Feb-2018	21-Nov-2017	Peter Sullivan	Sprott Canna HoldCo Corp.,Sprott Inc.	19-Apr-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2018-088	18E0633	16-Apr-2018	20-Dec-2012	Lorne Saltman	Ha-Kyung Helen Song		\$ 10,477	\$ -	\$ 28,550	\$ 136,245	\$ 21,450	\$ 196,722	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2018-103	18E0894	18-May-2018	12-Sep-2017	Robert Cohen	Alcantara Parties	23-Jan-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2018-110	18E1067	7-Jun-2018	16-Dec-2015	Geoff Shaw	ASWR Franchising Corp.	7-Aug-2020	\$ -	\$ -	\$ 9,544	\$ -	\$ -	\$ 9,544	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2018-119	18E1131	27-Jun-2018	20-Jun-2018	Jason Goldman	Dalradian Resources Inc.	11-Mar-2019	\$ -	\$ -	\$ 1,203	\$ -	\$ -	\$ 1,203	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-016	18E1732	29-Aug-2018	22-Aug-2018	Mark Bennett	Various firm clients	1-Apr-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-032	18E1831	10-Oct-2018	20-Aug-2018	Stephanie Roberts	Mondofix Inc.	6-Dec-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-048	18E2322	5-Dec-2018	27-Nov-2018	Frank DeLuca	Harvest Health & Recreation Inc.	12-Nov-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-060	No CST / 18E2511	27-Nov-2018	13-Jul-2017	Todd (Gavin) Robinson	Rouhangiz Malekzadeh,Ali Shekari		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-061	18E2520	31-Dec-2018	1-Jan-2012	Casey Chisick	Naomi Brewer	26-Jun-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-064	18E2525	17-Jan-2019	31-Dec-2011	Jonathan Fleisher	Rabba 1991 Trust,Jiries Nicholas Rabba	1-Oct-2020	\$ -	\$ -	\$ 30,423	\$ -	\$ -	\$ 30,423	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-079	19E0340	1-Mar-2019	9-Jan-2019	Sean Maniaci	VeroBlue Farms Inc.		\$ -	\$ -	\$ 268,972	\$ -	\$ 101,027	\$ 369,999	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-088	19-0280	29-Mar-2019	10-Jan-2019	Brigeeta Richdale	AGNL Avionics Abbotsford Nominee ULC	24-Jul-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-106	No CST / 19E0731	26-Apr-2019		L. Michael Shannon	Luke Galati		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-107	19-0641	7-May-2019	16-Oct-2018	Jeffrey Oliver	Jeffrey Schneider	23-Dec-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLLAS2019-109	19-0440	13-May-2019	16-Nov-2																					

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2020-024	19-0802	12-Sep-2019		Sam Cole	RavenQuest BioMed Inc.		\$ -	\$ -	\$ -	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-029	20-0150	24-Sep-2019	5-Sep-2019	Jason Holowachuk	Brock White Canada ULC	26-Feb-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-042	19E2616	28-Oct-2019	21-Feb-2019	Laurie Lapalme	Various		\$ -	\$ -	\$ -	\$ -	\$ 1,000	\$ 1,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-045	19E2147	29-Oct-2019	17-Jan-2012	David Redmond	Centurion Apartment REIT	1-May-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-060	19E2572	13-Dec-2019	1-Apr-2013	Cameron Mingay	LBP Holdings Ltd.	16-Sep-2020	\$ -	\$ -	\$ 19,770	\$ -	\$ -	\$ 19,770	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-061	19E2471	6-Dec-2019	17-Jun-2015	Matthew Peters	Fieldhouse Capital Management Inc.	28-Jan-2020	\$ -	\$ -	\$ 5,290	\$ -	\$ -	\$ 5,290	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-064	19E2681	20-Dec-2019	28-Aug-2019	Melissa Winch	TDL Group Corp.	8-Apr-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-070	19E2722	7-Jan-2020	30-Oct-2018	Colin Ground	Polaris Infrastructure Inc.		\$ -	\$ -	\$ 3,480	\$ 5,000	\$ 1,520	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-071	19E2679	30-Dec-2019	13-Sep-2019	Larry Ellis	3070 Ellesmere Developments Inc.	26-Mar-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-072	19E2691	6-Jan-2020	31-Oct-2019	Kwaku Tabi	Haventree Bank	6-Mar-2020	\$ -	\$ -	\$ 3,342	\$ -	\$ -	\$ 3,342	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2021-039	20E1923	2-Nov-2020		Max Gotlieb	Kenneth Rotenberg Estate,Virginia Shanker		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total							\$ 4,293,273	\$ 22,376	\$ 8,718,684	\$ 1,664,545	\$ 1,725,345	\$ 16,424,223	\$ 45,674,187	\$ 6,051,676	\$ -	\$ -	\$ -	\$ 3,000,000	\$ 5,000,000	\$ -	\$ 59,725,862	

## **CLLAS Renewal Application**

### **Appendix G — Risk Management Policies and Procedures**

(originally filed in 2009; reviewed February 2021)

**Name of Firm: Cassels Brock and Blackwell LLP**

1. Please advise if there is a committee or partner with overall responsibility for professional liability risk management of your firm.

**Audit & Risk Management Committee (ARMC) and John Birch, ARMC Member and General Counsel**

**The ARMC is responsible for the administration of the various insurance policies maintained by the Firm. This Committee includes at least one litigation lawyer who monitors claims made against the professional liability policy. This Committee also includes at least one lawyer with direct insurance industry experience who helps monitor our overall insurance limits and exposure to risk.**

**The ARMC is also responsible for maintaining standards of practice and ethics within the Firm. It also deals with matters involving conflicts or potential conflicts of interest, complaints from clients and a variety of other matters.**

2. Please provide a full description of the firm's risk management policies and procedures and include the following:

**The Firm has written policies and procedures dealing with a number of risk management areas, including conflicts of interest, trust funds, lawyers acting as directors and officers, ownership of significant interests in a client, audit enquiries, professional conduct, ethics and standards, professional development, confidentiality and security, pre-clearance of securities trades, file intake and retainers. The firm also provides guidance to lawyers regarding opinions and outside counsel guidelines.**

- (a) A list of the firm's written risk management policies and procedures (e.g. with respect to client intake, conflict checking, lawyers acting as directors or officers) and how these policies and procedures are communicated (e.g. internal practice policy or policy manual, seminars, etc.).

**The Firm's risk management policies and procedures are documented in the Firm's policy manual and stand-alone policies, which include the following:**

- **client intake**
- **conflict checking**
- **directors and officers**
- **professional errors and omissions**
- **securities trading**
- **ownership interest in clients**

**New lawyers and other employees receive instruction regarding the Firm's risk management policies and procedures during orientation sessions that are carried out at the commencement of their employment. The Firm's risk**

**CLLAS Renewal Application**  
**Appendix G — Risk Management Policies and Procedures**  
(originally filed in 2009; reviewed March 2019)

**Name of Firm: Cassels Brock and Blackwell LLP**

management policies are readily accessible on-line at all times on the Firm's intranet and in the document management system. Lawyers and staff are advised of updates to the Firm's risk management policies and procedures as they occur and appropriate amendments are reflected in the policy manual and document management system.

- (b) The firm's procedure for opening or starting work on a file (e.g. use of a standard new client form, retainer letter, conflict check, approval process, etc.).

**File Openings**

For all new client file-opening forms that are received, the client and matter name is searched on both client and matter levels. If any potential conflict is found, the initiating lawyer/assistant is notified. If the Accounting Department is advised that there is no conflict, the file is opened. If the Accounting Department is advised that there is a conflict, the file is not opened.

For all new matters for existing clients) the client and matter name is searched on both client and matter levels.

The Daily Open Client & Matter report is circulated to all lawyers and assistants. As stated in the opening statement of that report, it is the responsibility of each lawyer to review the report and act immediately if a potential conflict is disclosed.

**Conflict Inquiries**

For all prospective clients and all new matters for existing clients, unless in the latter case it is absolutely clear that there is no opposite party or, if so, that it is absolutely clear that, for whatever reason, there could be no conflict, the initiating lawyer sends a conflict inquiry using the Conflict Search form.

When a conflict inquiry is received, the File Opening Clerk does a systems search of the client database (open and closed). The data includes recorded opposite parties. If any potential conflict is revealed, a conflict report printout is produced and sent to the initiating lawyer to discuss/resolve with any other lawyers involved, if that can be done without revealing confidential information. In situations where it may be necessary to reveal confidential information in order to assess and resolve a potential conflict, a member of the ARMC receives this confidential information separately from each side and provides guidance regarding the conflict (without revealing the confidential information).

**CLLAS Renewal Application**  
**Appendix G — Risk Management Policies and Procedures**  
(originally filed in 2009; reviewed March 2019)

**Name of Firm: Cassels Brock and Blackwell LLP**

**Notice of a confidentiality screen is circulated to all affected parties. At this point the client file security measures are put in place immediately.**

**Retainer Letter**

**The firm's policy is that an engagement letter must be prepared and sent to the client in respect of all new matters (including new matters for an existing client). The engagement letter generally addresses the following issues:**

- **Scope of work**
- **Name of the lawyer(s) providing the services**
- **Name of the principal lawyer**
- **Fees**
- **Out-of-pocket expenses**
- **Retainer and trust deposit**
- **Billing arrangements and terms of payment**
- **Termination of representation (withdrawal circumstances, etc.)**

**In addition, standard terms and conditions (contained in a separate document that is sent with the engagement letter) are incorporated by reference into most engagements.**

**Tickler/Follow-Up Systems**

**It is the responsibility of each lawyer to select and maintain his/her own tickler system (computerized or manual) to remind him/her to deal with a particular issue within a specific time frame. Lawyers are asked to familiarize themselves with the tickler system used by other lawyers in their Practice Group, and ensure matters are brought forward in a timely manner.**

**The intellectual property sub-group of the Business Law Group has a separate, specific system for diarizing trademark-related deadlines.**

**\*\*\* FIRM SUMMARY \*\*\***

LAW SOCIETY OF ONTARIO

Page 1 of 7

To: CASSELS BROCK & BLACKWELL LLP  
 SCOTIA PLAZA  
 #2100 - 40 KING ST WEST  
 TORONTO, ON M5H 3C2

Invoice Date: 19 NOV 2020  
 Policy Effective/Due Date: 01 JAN 2021  
 Total Amount: \$622,620.00  
 Account: A034880

Attention: Accounts Payable

**\*\*\* PREMIUM DETAIL \*\*\***

Description	Amount
CLAIMS HISTORY LEVY SURCHARGE	\$15,000.00
ADJUSTED BASE-RATED PREMIUM	\$561,500.00
PST	\$46,120.00
	=====
<b>*** TOTAL ***</b>	<b>\$622,620.00</b>

**\*\*\* INSTALMENT SUMMARY \*\*\***

Instalment Date	Original Amount	Paid Amount	Open Amount
** PAYABLE 01 JAN 2021 **	\$622,620.00	\$0.00	\$622,620.00
	=====	=====	=====
<b>*** TOTAL ***</b>	<b>\$622,620.00</b>	<b>\$0.00</b>	<b>\$622,620.00</b>

Early payment discount: Before 05 FEB 2021, pay only:	\$611,712.00
After 05 FEB 2021, pay:	\$622,620.00
Save:	\$10,908.00

(Detach and return with payment)

Account: A034880	Due Date:	01 JAN 2021
CASSELS BROCK & BLACKWELL LLP	Instalment Total:	\$622,620.00
	Balance:	\$622,620.00
	Amount Enclosed:	<u>                    </u>
Pay To: Law Society of Ontario c/o Lawyers' Professional Indemnity Company 250 Yonge Street Suite 3101, P.O. Box 3 Toronto, ON M5B 2L7		

**\* Please attach a copy of the Firm Summary to your cheque \***

### \*\*\* FIRM SUMMARY \*\*\*

Firm: A034880

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The following members are covered on this summary:

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A011660O	PETERSON, DAVID ROBERT	\$3,240.00	\$3,240.00	\$3,240.00
A013475H	CRAIG, JOHN HUNTER	\$3,240.00	\$3,240.00	\$3,240.00
A015550F	BURDEN, WILLIAM JOHNSON	\$3,240.00	\$3,240.00	\$3,240.00
A016589I	ABRAMSON, LANNING JAY	\$6,048.00	\$6,048.00	\$6,048.00
A016808H	GOTLIEB, MAXWELL	\$3,240.00	\$3,240.00	\$3,240.00
A017904B	PLENER, SHELDON	\$3,240.00	\$3,240.00	\$3,240.00
A018514A	MANZER, ALISON ROSEMARY	\$3,348.00	\$3,348.00	\$3,348.00
A018593C	SELZNICK, STEPHEN IRA	\$3,348.00	\$3,348.00	\$3,348.00
A019947K	KLIGMAN, ROBERT DAVID	\$3,240.00	\$3,240.00	\$3,240.00
A020027U	PINOS, JOSEPH DAVID TIMOTHY	\$3,240.00	\$3,240.00	\$3,240.00
A020135M	YOUNG, MARK IAN	\$3,240.00	\$3,240.00	\$3,240.00
A021142G	STEINHART, GARY	\$3,240.00	\$3,240.00	\$3,240.00
A021789J	MINGAY, CAMERON ARTHUR	\$3,240.00	\$3,240.00	\$3,240.00
A021828Q	REEVE, JAMES BRIAN	\$3,240.00	\$3,240.00	\$3,240.00
A022845E	NORTON, CHRISTOPHER BRIAN	\$3,240.00	\$3,240.00	\$3,240.00
A022897M	SNIDER, KENNETH JUDD	\$3,240.00	\$3,240.00	\$3,240.00
A023256T	STEIN, PAUL MICHAEL	\$5,940.00	\$5,940.00	\$5,940.00
A024238L	SILVER, LORNE STEPHEN	\$3,240.00	\$3,240.00	\$3,240.00
A025356I	GLICKMAN, LEONARD	\$3,240.00	\$3,240.00	\$3,240.00
A025675Q	ROY, JEFFREY PAUL	\$3,240.00	\$3,240.00	\$3,240.00
A025728B	MERCER, CATHY LEE	\$3,240.00	\$3,240.00	\$3,240.00
A025846K	KARN, BERNICE MABELLE	\$3,240.00	\$3,240.00	\$3,240.00
A026367J	SHAW, GEOFFREY BRACKLEY	\$3,240.00	\$3,240.00	\$3,240.00
A026798Q	ALTER, MATTHEW RICHARD	\$3,348.00	\$3,348.00	\$3,348.00
A027474Q	REDMOND, DAVID ANTHONY	\$8,748.00	\$8,748.00	\$8,748.00
A027732S	SWICK, BRENDA CAROL	\$3,240.00	\$3,240.00	\$3,240.00
A029476H	FLEISHER, JONATHAN EMMANUEL	\$3,240.00	\$3,240.00	\$3,240.00
A029730C	WATTERWORTH, ANN LOUISE	\$3,240.00	\$3,240.00	\$3,240.00
A029839C	DOMINIQUE, BRIAN PATRICK	\$3,348.00	\$3,348.00	\$3,348.00
A030156D	WEINBERG, LAWRENCE MARK	\$3,348.00	\$3,348.00	\$3,348.00
A030670L	GOLDMAN, JASON IRA	\$3,240.00	\$3,240.00	\$3,240.00
A030729S	KUKULOWICZ, ROBERT SHAYNE	\$3,240.00	\$3,240.00	\$3,240.00
A030938T	ARNONE, FRANCESCO PIETRO	\$3,240.00	\$3,240.00	\$3,240.00
A031351O	WUNDER, MICHAEL JOHN	\$3,348.00	\$3,348.00	\$3,348.00
A032187D	COHEN, ROBERT BRIAN	\$5,940.00	\$5,940.00	\$5,940.00

**\*\*\* FIRM SUMMARY \*\*\***

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A032266J	GOODMAN, GORDON PETER	\$3,240.00	\$3,240.00	\$3,240.00
A032748J	BERMAN, WENDY RUTH	\$3,240.00	\$3,240.00	\$3,240.00
A035009R	MERCIER, RONALD JOSEPH MARCUS	\$3,348.00	\$3,348.00	\$3,348.00
A035302P	REBACK, ANDREW MAXWELL	\$3,240.00	\$3,240.00	\$3,240.00
A036620P	BENNETT, MARK TORRANCE	\$3,240.00	\$3,240.00	\$3,240.00
A036951J	VETTESE, JOHNNY PAUL	\$3,240.00	\$3,240.00	\$3,240.00
A037339O	TAYLOR, KRISTIN RACHELL	\$3,240.00	\$3,240.00	\$3,240.00
A038968U	BIRCH, JOHN NICHOLAS	\$3,348.00	\$3,348.00	\$3,348.00
A039215F	NEWMAN, JOHN CHARLES ROSS	\$3,348.00	\$3,348.00	\$3,348.00
A039474W	HAMILTON, ARTHUR LLOYD	\$3,348.00	\$3,348.00	\$3,348.00
A040416E	DELUCA, FRANCIS ALBERT	\$3,240.00	\$3,240.00	\$3,240.00
A040502Q	KAPSALES, TOMMY	\$3,348.00	\$3,348.00	\$3,348.00
A040901D	ILIOPOULOS, ALEXANDRA	\$3,240.00	\$3,240.00	\$3,240.00
A040976K	OSBORNE, WILLIAM MICHAEL GRANT	\$3,240.00	\$3,240.00	\$3,240.00
A041014R	SALEM, ANDREW EMILE	\$3,348.00	\$3,348.00	\$3,348.00
A041824A	BOIVIN, ANDRE MARCEL	\$3,348.00	\$3,348.00	\$3,348.00
A041858M	JACKSON, LARA MARY BESS	\$3,240.00	\$3,240.00	\$3,240.00
A042178M	ARBUCK, SIDNEY JASON	\$3,348.00	\$3,348.00	\$3,348.00
A042203S	BULLEN, JASON KENNETH SPERO	\$3,240.00	\$3,240.00	\$3,240.00
A042258O	HOGAN, GREGORY JOSEPH	\$3,240.00	\$3,240.00	\$3,240.00
A042930L	RICH, CHARLES BRADLEY	\$3,348.00	\$3,348.00	\$3,348.00
A042973V	FITZGERALD, ANDREA CATHERINE	\$3,240.00	\$3,240.00	\$3,240.00
A043080N	HERSH, CHRISTOPHER MICHAEL	\$3,240.00	\$3,240.00	\$3,240.00
A043511B	LAPALME, LAURIE CHANTAL	\$3,240.00	\$3,240.00	\$3,240.00
A044483S	ACCURSI, GARY CHAD	\$3,240.00	\$3,240.00	\$3,240.00
A044563U	GROUND, COLIN WILLIAM	\$5,940.00	\$5,940.00	\$5,940.00
A044616F	MANIACI, SEAN ANTHONY SAL	\$3,240.00	\$3,240.00	\$3,240.00
A045362J	CLEMENTS, LINDSAY ANN	\$3,240.00	\$3,240.00	\$3,240.00
A045423T	HARDY, SHANE DAVID	\$3,240.00	\$3,240.00	\$3,240.00
A045455Q	LEISK, SIGNE BLANKA	\$3,348.00	\$3,348.00	\$3,348.00
A045616Q	CHUMMAR, NOBLE CYRIL	\$3,240.00	\$3,240.00	\$3,240.00
A045862F	LYSY, ROBERT MICHAEL	\$3,240.00	\$3,240.00	\$3,240.00
A046555R	BELLISSIMO, JOSEPH JAMES	\$3,348.00	\$3,348.00	\$3,348.00
A046572R	CHISICK, CASEY MARK	\$3,240.00	\$3,240.00	\$3,240.00
A046978W	RONDE, DEREK BART CHARLES	\$3,240.00	\$3,240.00	\$3,240.00
A048080W	JESSOME, LAURIE BRIDGET	\$3,240.00	\$3,240.00	\$3,240.00

### \*\*\* FIRM SUMMARY \*\*\*

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A048716Q	HAYMAN, ALISON JEAN	\$3,240.00	\$3,240.00	\$3,240.00
A048930I	GEARY, NICOLA ELIZABETH	\$3,240.00	\$3,240.00	\$3,240.00
A049302U	DIETRICH, JANE OLIVE	\$3,348.00	\$3,348.00	\$3,348.00
A049330K	HENEIN, PETER JOSEPH	\$3,240.00	\$3,240.00	\$3,240.00
A049559L	GRAY, TILLY	\$3,240.00	\$3,240.00	\$3,240.00
A049784Q	FRANKEL, THEODORE BLOCH	\$3,240.00	\$3,240.00	\$3,240.00
A049821I	KING, JAY FRANCIS	\$3,240.00	\$3,240.00	\$3,240.00
A049932L	UUKKIVI, RAIVO	\$3,348.00	\$3,348.00	\$3,348.00
A050708C	CHOI, NANCY	\$3,240.00	\$3,240.00	\$3,240.00
A051289L	FREEMAN, JONATHAN	\$3,348.00	\$3,348.00	\$3,348.00
A052626H	NICHOLAS, ALOYSIUS CHANDIMAL	\$3,240.00	\$3,240.00	\$3,240.00
A052723J	WOOLFORD, LUKE STEPHEN	\$3,240.00	\$3,240.00	\$3,240.00
A052864R	JAKIBCHUK, ADRIAN DANIEL	\$3,240.00	\$3,240.00	\$3,240.00
A053817A	PIZALE, JOHN ALEXANDER	\$3,240.00	\$3,240.00	\$3,240.00
A055767C	BLACKBURN, JEDIDIAH MONTEGU	\$3,348.00	\$3,348.00	\$3,348.00
A055875R	LEITH, BENJAMIN DARRYL	\$3,348.00	\$3,348.00	\$3,348.00
A055957L	ROBINSON, FRANK SAUL	\$3,348.00	\$3,348.00	\$3,348.00
A056576I	KENNEDY, STEVEN NOEL	\$3,240.00	\$3,240.00	\$3,240.00
A057154K	KHULLAR, SHAUNIK	\$3,240.00	\$3,240.00	\$3,240.00
A057297K	WINCH, MELISSA ERICA	\$3,348.00	\$3,348.00	\$3,348.00
A057305Q	ZAGAR-DRISCOLL, JESSICA ANNE	\$3,240.00	\$3,240.00	\$3,240.00
A057316G	ABUDULAI, SUHUYINI HADIA	\$3,240.00	\$3,240.00	\$3,240.00
A057488P	LUNGU, MICHAEL MOSHE	\$3,240.00	\$3,240.00	\$3,240.00
A058204S	HOLLAND, STEFANIE ANN	\$3,240.00	\$3,240.00	\$3,240.00
A058282B	GORYS, ANDREA JOSEFA	\$3,240.00	\$3,240.00	\$3,240.00
A058406N	PICONE, JOHN MICHAEL	\$3,240.00	\$3,240.00	\$3,240.00
A058577D	GARDOS, DAVID PETER	\$3,240.00	\$3,240.00	\$3,240.00
A058663P	MAYZEL, ERIC ALAN	\$3,132.00	\$3,132.00	\$3,132.00
A059037T	PILKINGTON, ADRIANNA MONIQUE	\$3,348.00	\$3,348.00	\$3,348.00
A059510J	JACOBS, RYAN COREY	\$3,240.00	\$3,240.00	\$3,240.00
A059912H	PENDRITH, COLIN DAVID	\$3,348.00	\$3,348.00	\$3,348.00
A060137J	JIMENO, NATASHA LEI	\$3,348.00	\$3,348.00	\$3,348.00
A060619J	WASYLYK, JENNIFER ASHLEY	\$3,240.00	\$3,240.00	\$3,240.00
A061177T	SULLIVAN, PETER JOSEPH GREGORY	\$3,240.00	\$3,240.00	\$3,240.00
A061421U	BROWN, GRAHAM RICHARD	\$3,348.00	\$3,348.00	\$3,348.00
A061610K	MARTIN, JEREMY MICHAEL	\$3,240.00	\$3,240.00	\$3,240.00

### \*\*\* FIRM SUMMARY \*\*\*

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A061877S	HOJILLA, PAMELA GAY M.	\$3,240.00	\$3,240.00	\$3,240.00
A061880R	HORKINS, CHRISTOPHER BRINDLEY	\$3,240.00	\$3,240.00	\$3,240.00
A061984O	RAMGOOLAM, ROSSI RYAN	\$3,348.00	\$3,348.00	\$3,348.00
A062390D	ST. CYR, MARK ANDRE	\$3,348.00	\$3,348.00	\$3,348.00
A063638L	SASSI, MONIQUE	\$3,348.00	\$3,348.00	\$3,348.00
A063748S	LI PARIZEAU, MING-LAI TERA	\$2,592.00	\$2,592.00	\$2,592.00
A063778A	MIKELBERG, JEFFREY PHILLIP	\$3,240.00	\$3,240.00	\$3,240.00
A063847M	RUSSELL, CAITLIN ANNE	\$3,240.00	\$3,240.00	\$3,240.00
A064124C	LEVINSKY, DEREK WILLIAM	\$3,240.00	\$3,240.00	\$3,240.00
A064160R	MOHAJER-ASHJAI, ARDAVAN	\$3,348.00	\$3,348.00	\$3,348.00
A064239M	SOMANI, ALY-KHAN	\$3,240.00	\$3,240.00	\$3,240.00
A064355G	COHEN, CARLY ELYSE	\$3,240.00	\$3,240.00	\$3,240.00
A064583W	ROBERTS, STEPHANIE KATHRYN-MAY	\$3,240.00	\$3,240.00	\$3,240.00
A064743D	POTTER, CARLA ELIZABETH	\$3,348.00	\$3,348.00	\$3,348.00
A064908K	LEVINE, NATALIE ELAINE	\$3,348.00	\$3,348.00	\$3,348.00
A064915Q	LITCHEN, JAMIE ALEXANDRA	\$3,240.00	\$3,240.00	\$3,240.00
A065231J	OMOKHODION, PATIENCE NWANNE	\$3,240.00	\$3,240.00	\$3,240.00
A065384D	SIMPSON, KYLE JOSEPH	\$3,240.00	\$3,240.00	\$3,240.00
A065425C	BORNSTEIN, JEREMY DAVID	\$3,240.00	\$3,240.00	\$3,240.00
A065457W	CONSTANTINE, MARIA CATHERINE	\$3,240.00	\$3,240.00	\$3,240.00
A065514C	GIBSON, CHRISTIE ELIZABETH	\$3,348.00	\$3,348.00	\$3,348.00
A065534N	HENDERSON, STEPHEN JAMES BURRO	\$3,240.00	\$3,240.00	\$3,240.00
A065702T	SELBY, CHRISTOPHER CARL	\$3,240.00	\$3,240.00	\$3,240.00
A065741W	TOMBS, ANNA LESLIE ROBINSON	\$3,240.00	\$3,240.00	\$3,240.00
A065752M	VOUDOURIS, STEPHANIE STELLA	\$3,348.00	\$3,348.00	\$3,348.00
A065838N	COGGIN, MARISA MARIE	\$3,240.00	\$3,240.00	\$3,240.00
A065857F	DI FRANCESCO, STEFANIE ANNE	\$3,240.00	\$3,240.00	\$3,240.00
A066146E	WOODMAN, BRYAN ROBERT	\$3,240.00	\$3,240.00	\$3,240.00
A066331K	KHAN, TAYYABA	\$3,240.00	\$3,240.00	\$3,240.00
A066430E	PHILLIPS, JACKSON EDWARD NIXON	\$3,240.00	\$3,240.00	\$3,240.00
A067695I	BYERS, KATHLEEN NOEL	\$3,240.00	\$3,240.00	\$3,240.00
A068041K	CIPOLLONE, DANIEL PHILIP	\$3,240.00	\$3,240.00	\$3,240.00
A068146D	LAI, KRISTEN SEI-TING	\$3,240.00	\$3,240.00	\$3,240.00
A068463T	KEATING, MARISA BIANCA	\$3,348.00	\$3,348.00	\$3,348.00
A068574W	SHERMAN, JONATHAN DANIEL	\$3,240.00	\$3,240.00	\$3,240.00
A068794N	KUREDJIAN, JESSICA LORRAINE	\$3,240.00	\$3,240.00	\$3,240.00

### \*\*\* FIRM SUMMARY \*\*\*

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A070041V	LESZCZ, NOAH SAMUEL	\$3,348.00	\$3,348.00	\$3,348.00
A070051P	LYLE, JAMES WILLIAM	\$3,240.00	\$3,240.00	\$3,240.00
A070229E	BLOM, RANDALL HARDWIN	\$3,240.00	\$3,240.00	\$3,240.00
A070230L	BLUMBERG, ROBYN ERIN	\$3,348.00	\$3,348.00	\$3,348.00
A070303H	GOODIS, BENJAMIN RYAN	\$3,348.00	\$3,348.00	\$3,348.00
A070336A	KELMAN, DAVID LEE	\$3,240.00	\$3,240.00	\$3,240.00
A070468K	TABI, KWAKU ALBERT KODIE	\$3,348.00	\$3,348.00	\$3,348.00
A070597J	GROSSMAN, LAUREN ELYSE	\$3,240.00	\$3,240.00	\$3,240.00
A070625D	JIVAJI, FATEMA NAJMUDDIN	\$3,348.00	\$3,348.00	\$3,348.00
A071003C	MURPHY, ALEXANDRA ELIZABETH FR	\$3,240.00	\$3,240.00	\$3,240.00
A072223E	GARRAWAY, STEPHANIE REMA	\$2,700.00	\$2,700.00	\$2,700.00
A072317H	MOHER, SOPHIE HOPE	\$2,592.00	\$2,592.00	\$2,592.00
A072471I	BATES, CHRISTIE JANE CLARK	\$2,592.00	\$2,592.00	\$2,592.00
A072541Q	EL OFIR, MIRIAM	\$2,592.00	\$2,592.00	\$2,592.00
A072837O	CIARLARIELLO, MARCO JOSEPH	\$2,592.00	\$2,592.00	\$2,592.00
A073009A	POLITANO, STEFAN CHRISTOPHER	\$2,592.00	\$2,592.00	\$2,592.00
A073238M	KERZNER, STEPHANIE MIA	\$2,592.00	\$2,592.00	\$2,592.00
A073282G	METALLO, AMANDA MARIA	\$2,592.00	\$2,592.00	\$2,592.00
A073313L	PODOLSKY, CHAD DANIEL	\$2,592.00	\$2,592.00	\$2,592.00
A073338C	SCOLIERI, AMANDA ELVIRA	\$2,592.00	\$2,592.00	\$2,592.00
A073694V	TALLIM, MICHAEL RESHAUN	\$3,240.00	\$3,240.00	\$3,240.00
A074651W	LAU, FLORENCE PUI YA	\$2,268.00	\$2,268.00	\$2,268.00
A074657V	LEE, HONG WING CONRAD	\$2,268.00	\$2,268.00	\$2,268.00
A074715U	NGO, RICHARD	\$2,268.00	\$2,268.00	\$2,268.00
A074798G	SINGH, DAVID PATRICK POWER	\$2,268.00	\$2,268.00	\$2,268.00
A074885O	ANAND, GAURAV	\$2,268.00	\$2,268.00	\$2,268.00
A074914E	BLAIR, LUCAS SAMSON CLEMENT	\$2,376.00	\$2,376.00	\$2,376.00
A074926N	BRITTON, JAMES MICHAEL	\$2,268.00	\$2,268.00	\$2,268.00
A075184H	O'BRIEN, TEGAN ANNE	\$2,268.00	\$2,268.00	\$2,268.00
A075235A	ROURKE, MEGHAN TEDDY	\$2,268.00	\$2,268.00	\$2,268.00
A075277O	SOKOLOFF, SAM TAYLOR	\$2,376.00	\$2,376.00	\$2,376.00
A075663T	QIN, YI JASMINE	\$2,268.00	\$2,268.00	\$2,268.00
A076333J	O'DONNELL, KATIE MAY	\$2,268.00	\$2,268.00	\$2,268.00
A077099N	OBANDO OSPINA, ANY ANDREA	\$1,944.00	\$1,944.00	\$1,944.00
A077268P	CHIU, TIFFANY CAROLYN	\$1,944.00	\$1,944.00	\$1,944.00
A077482H	SHEPHERD, JONATHAN WALTER	\$1,944.00	\$1,944.00	\$1,944.00

### \*\*\* FIRM SUMMARY \*\*\*

Firm: A034880

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A077579V	BROSS, AVE YAAKOV	\$2,052.00	\$2,052.00	\$2,052.00
A077615R	DAVIS, TRISTAN ROBERT	\$1,944.00	\$1,944.00	\$1,944.00
A077712T	LEE, KELLY-ANN	\$2,052.00	\$2,052.00	\$2,052.00
A077773C	PATEL, PARAS	\$1,944.00	\$1,944.00	\$1,944.00
A077840W	TEPPERMAN, JESSE LEE	\$1,944.00	\$1,944.00	\$1,944.00
A078000W	HAMALIUK, JOSEPH ALAN MICHAEL	\$1,944.00	\$1,944.00	\$1,944.00
A078028B	KIM, HEESOO	\$1,944.00	\$1,944.00	\$1,944.00
A078229A	DYKSTRA, LINDSAY ELIZABETH	\$2,592.00	\$2,592.00	\$2,592.00
A078393S	KHAJETOORIAN, ARMEN	\$3,348.00	\$3,348.00	\$3,348.00
A079210H	WOWK, GEORGE ANDREW	\$3,240.00	\$3,240.00	\$3,240.00
A079314E	CAPMARE, CORINA	\$1,620.00	\$1,620.00	\$1,620.00
A079338W	CHHERAWALA, AAMIR	\$1,620.00	\$1,620.00	\$1,620.00
A079362F	CRESSMAN, AARON FRETZ	\$1,620.00	\$1,620.00	\$1,620.00
A079416J	ELLIOTT-ARMSTRONG, NICO TAUPE	\$1,620.00	\$1,620.00	\$1,620.00
A079456I	GAERTNER, THEA MARISA	\$1,620.00	\$1,620.00	\$1,620.00
A079568H	KARBI, JACQUELINE VIOLET	\$1,620.00	\$1,620.00	\$1,620.00
A079614U	LEONARD, KATELYN ANN	\$1,620.00	\$1,620.00	\$1,620.00
A079672P	MAY, KIERAN JAMES	\$1,620.00	\$1,620.00	\$1,620.00
A079700J	MINUK, ERIN MICHAELA	\$1,620.00	\$1,620.00	\$1,620.00
A079781D	SCROCCHI, SHANNON KATHLEEN	\$1,620.00	\$1,620.00	\$1,620.00
A080163V	PELECH, NATHAN ANDRIJ	\$1,728.00	\$1,728.00	\$1,728.00
A080240M	SARSANGI, MOHAMMAD REZA	\$1,620.00	\$1,620.00	\$1,620.00
A080287G	SNIDERMAN, ROBERT ELI	\$1,620.00	\$1,620.00	\$1,620.00

202 members are listed on the summary

Instalment Amount:	\$622,620.00
Total Premium:	\$622,620.00
Outstanding Amount:	\$622,620.00